

**State of California  
Office of Administrative Law**

**In re:  
California Debt Limit Allocation Committee**

**NOTICE OF APPROVAL OF EMERGENCY  
REGULATORY ACTION**

**Regulatory Action:**

**Title 4, California Code of Regulations**

**Adopt sections: 5000, 5010, 5020, 5021, 5030,  
5031, 5032, 5033, 5034, 5035, 5036, 5037, 5038,  
5039, 5050, 5051, 5052, 5053, 5054, 5055, 5056,  
5060, 5061, 5062, 5063, 5064, 5080, 5081, 5082,  
5100, 5101, 5102, 5103, 5104, 5105, 5106, 5107,  
5120, 5130, 5131, 5132, 5140, 5141, 5142, 5143,  
5150, 5151, 5152, 5153, 5154, 5155, 5170, 5180,  
5181, 5182, 5183, 5190, 5191, 5192, 5193, 5194,  
5200, 5210, 5211, 5212, 5220, 5230, 5231, 5232,  
5240, 5250, 5260, 5265, 5266, 5267, 5268, 5269,  
5270, 5275, 5280, 5281, 5282, 5283, 5290, 5291,  
5300, 5310, 5311, 5312, 5313, 5314, 5315, 5320,  
5321, 5330, 5340, 5350, 5360, 5370, 5371, 5372,  
5380, 5381, 5382, 5383, 5384, 5400, 5410, 5411,  
5420, 5421, 5422, 5423, 5430, 5431, 5432, 5433,  
5434, 5435, 5440, 5450, 5460, 5461, 5470, 5480,  
5490, 5491, 5492, 5493, 5494, 5500, 5510, 5520,  
5530, 5531, 5532, 5533, 5534, 5540, 5550, 5560,  
5570, 5571, 5572, 5573, 5580, 5590**

**Government Code Sections 11346.1 and  
11349.6**

**OAL File No. 2011-0322-01 EE**

The California Debt Limit Allocation Committee (CDLAC) submitted this emergency readopt action to extend the deemed emergency regulations adopted in OAL File Nos. 2010-0701-02ER and 2010-0720-09ER, which were consolidated into one action in OAL File No. 2010-1227-02EE. As provided under the Internal Revenue Code and the American Recovery and Reinvestment Act of 2009, and related federal regulations, states are authorized to allocate or reallocate a limited amount of tax-exempt private activity bonds for issuance each calendar year by state and local agencies. The emergency regulations established comprehensive regulations with accompanying incorporated by reference forms for CDLAC's administration of California's state ceiling allocation authority.

OAL approves this emergency regulatory action pursuant to sections 11346.1 and 11349.6 of the Government Code.

This emergency regulatory action is effective on 4/6/2011 and will expire on 7/6/2011. The Certificate of Compliance for this action is due no later than 7/5/2011.

Date: 4/1/2011

Richard L. Smith

Richard L. Smith  
Staff Counsel

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For: DEBRA M. CORNEZ  
Assistant Chief Counsel/Acting Director

Original: Bill Lockyer  
Copy: Misti Armstrong

# EMERGENCY

STATE OF CALIFORNIA—OFFICE OF ADMINISTRATIVE LAW

## NOTICE PUBLICATION/REGULATIONS SUBMISSION

(See instructions on reverse)

For use by Secretary of State only

STD. 400 (REV. 01-09)

OAL FILE NUMBERS	NOTICE FILE NUMBER <b>Z-</b>	REGULATORY ACTION NUMBER	EMERGENCY NUMBER <b>2011-0322-01EE</b>
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For use by Office of Administrative Law (OAL) only

**2011 MAY 22 AM 9:37****OFFICE OF  
ADMINISTRATIVE LAW****2011 APR -1 PM 2:41***Debra Bowen*  
**DEBRA BOWEN  
SECRETARY OF STATE**

NOTICE

REGULATIONS

AGENCY WITH RULEMAKING AUTHORITY  
CA Debt Limit Allocation Committee

AGENCY FILE NUMBER (if any)

### A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE		TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE	
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed <input type="checkbox"/> Regulatory Action <input type="checkbox"/> Other		4. AGENCY CONTACT PERSON		TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY	ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn			NOTICE REGISTER NUMBER	PUBLICATION DATE

### B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) <b>Admin of CAS limited tax exempt DEBT AUTHORITY</b>		1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) <b>2010-0616-01E, 2010-0616-02E, 2010-0701-02ER, 2010-0720-09ER, 2010-1227-02EE</b>	
2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)			
SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT See attached list		
	AMEND n/a		
TITLE(S) Title 4, Division 9.5	REPEAL n/a		
3. TYPE OF FILING			
<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346) <input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4) <input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))			
<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute. <input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)			
<input checked="" type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h)) <input type="checkbox"/> File & Print <input type="checkbox"/> Other (Specify) _____			
<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100) <input type="checkbox"/> Print Only			
4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1) n/a			
5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100) <input type="checkbox"/> Effective 30th day after filing with Secretary of State <input checked="" type="checkbox"/> Effective on filing with Secretary of State <input type="checkbox"/> \$100 Changes Without Regulatory Effect <input checked="" type="checkbox"/> Effective other (Specify) <b>APRIL 6, 2011</b>			
6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY <input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660) <input type="checkbox"/> Fair Political Practices Commission <input type="checkbox"/> State Fire Marshal <b>NA</b> <input type="checkbox"/> Other (Specify) _____			
7. CONTACT PERSON Misti Armstrong		TELEPHONE NUMBER 916-653-3461	FAX NUMBER (Optional)
		E-MAIL ADDRESS (Optional) marmstrong@treasurer.ca.gov	

8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE OF AGENCY HEAD OR DESIGNEE

DATE

March 22, 2011

TYPED NAME AND TITLE OF SIGNATORY

Sean L. Spear, CDLAC Executive Director

For use by Office of Administrative Law (OAL) only

**ENDORSED APPROVED****APR 01 2011****Office of Administrative Law**

**Attachment to Form 400**  
**List of sections to be incorporated by reference (B.2.)**

Title 4, Division 9.5

Chapter 1, Articles 1-12

Sections 5000, 5010, 5020, 5021, 5030, 5031, 5032, 5033, 5034, 5035, 5036, 5037, 5038, 5039, 5050, 5051, 5052, 5053, 5054, 5055, 5056, 5060, 5061, 5062, 5063, 5064, 5080, 5081, 5082, 5100, 5101, 5102, 5103, 5104, 5105, 5106, 5107, 5120, 5130, 5131, 5132, 5140, 5141, 5142, 5143, 5150, 5151, 5152, 5153, 5154, and 5155.

Chapter 2, Articles 1-9

Sections 5170, 5180, 5181, 5182, 5183, 5190, 5191, 5192, 5193, 5194, 5200, 5210, 5211, 5212, 5220, 5230, 5231, 5232, 5240, and 5250.

Chapter 3, Articles 1-5

Sections 5260, 5265, 5266, 5267, 5268, 5269, 5270, 5275, 5280, 5281, 5282, 5283, 5290, and 5291.

Chapter 4, Articles 1-5

Sections 5300, 5310, 5311, 5312, 5313, 5314, 5315, 5320, 5321, 5330, and 5340.

Chapter 5, Articles 1-4

Sections 5350, 5360, 5370, 5371, 5372, 5380, 5381, 5382, 5383, and 5384.

Chapter 6, Articles 1-5

Sections 5400, 5410, 5411, 5420, 5421, 5422, 5423, 5430, 5431, 5432, 5433, 5434, 5435, and 5440.

Chapter 7, Articles 1-3

Sections 5450, 5460, 5461, and 5470.

Chapter 8, Articles 1-4

Sections 5480, 5490, 5491, 5492, 5493, 5494, 5500 and 5510.

Chapter 9, Articles 1 – 4

Sections 5520, 5530, 5531, 5532, 5533, 5534, 5540, 5550.

Chapter 10, Articles 1-4

Sections 5560, 5570, 5571, 5572, 5573, 5580, and 5590.